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LSHTM Research Online

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Open by Default Electronic Theses at LSHTM

Dr Dominic Walker
Research Publications Manager

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- LSHTM Open Access Policy and E-Thesis Policy
- Theses made available under CC BY-NC-ND License
- All theses assigned a DOI
- A range of support provided to serve the policies
- Concerns from students with open by default

Institutional profile

- London School of Hygiene and Tropical Medicine (LSHTM)
- Research intensive based on Keppel St, building at Tavistock Place, two MRC Research Units (Gambia and Uganda)
- Institutional income 2017-18: £180m – including EU, Wellcome, RCUK, BMGF
- Specialist health institution
- c.900 academic staff
- Postgraduate teaching only: c.3300 distance learners, c.760 master's
- c.440 doctoral students (PhD and DrPH)

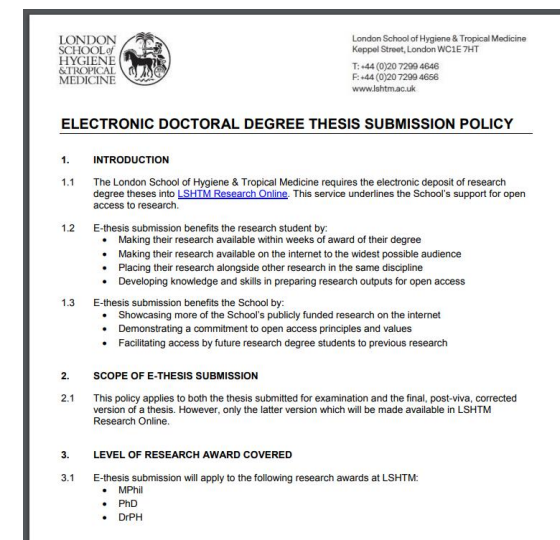


Open access policies

2013 – Electronic Doctoral Degree Thesis Submission Policy

2017 – Open Access Publishing Policy

- Requirement to submit electronic copy of thesis
- Asked to give LSHTM a non-exclusive license (CC BY-NC-ND)
- Re-use allowed for non-commercial purposes, no derivatives, as long as the author is acknowledged
- Students' responsibility to clear third-party material and ensure sensitive data is anonymized/removed, and data is managed
- Provision for restriction of access
 - Confidential material
 - Sensitive/commercial information
 - Uncleared copyright material
 - Research Paper Style Thesis
- Embargo max. two years in most cases
- Takedown policy
- Library & Archives Service should provide support



Why did we introduce the policy?

- PhDs contain a wealth of information, but traditionally inaccessible
- Promote work produced by the School, a kind of prospectus
- Most downloaded items from repositories (but not sure why!)
- Various audiences are served: researchers, students, health professionals, policy makers, journalists
- Public demonstration of commitment to open research
- Funder requirements for open access, e.g. RCUK and Wellcome

To assess the impact of black soldier fly (*Hermetia illucens*) larvae on faecal reduction in pit latrines

[\[PDF\] lshtm.ac.uk](#)

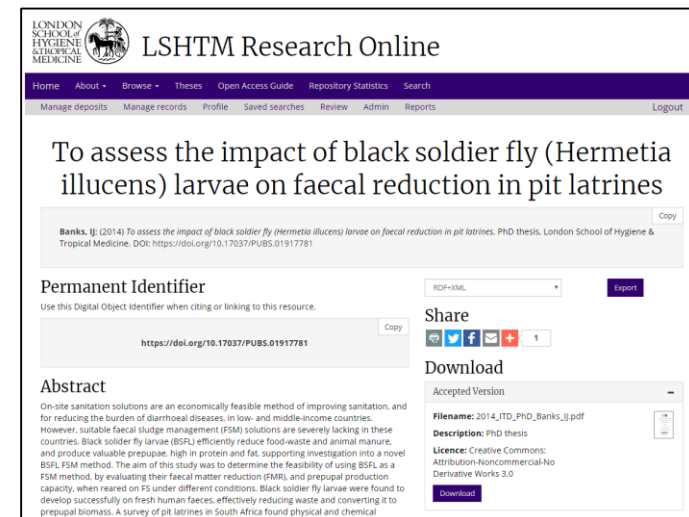
IJ Banks - 2014 - researchonline.lshtm.ac.uk

On-site sanitation solutions are an economically feasible method of improving sanitation, and for reducing the burden of diarrhoeal diseases, in low-and middle-income countries. However, suitable faecal sludge management (FSM) solutions are severely lacking in these countries. Black soldier fly larvae (BSFL) efficiently reduce food-waste and animal manure, and produce valuable prepupae, high in protein and fat, supporting investigation into a novel BSFL FSM method. The aim of this study was to determine the feasibility of using ...

☆ ⓘ Cited by 17 Related articles All 7 versions ⓘ

Procedures

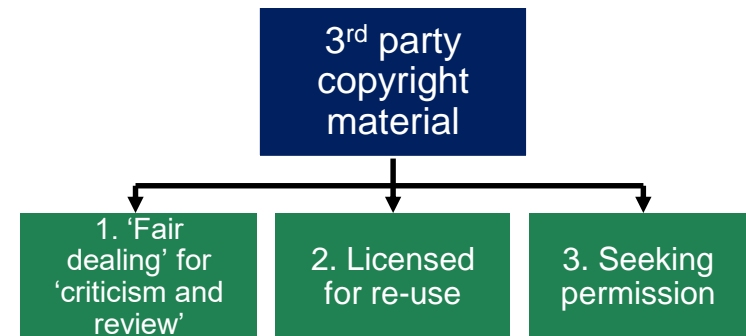
- Prior to submission: intention to submit form, reproduction of thesis form
- Students retain copyright but permit distribution via CC BY-NC-ND license
- Restriction of access permitted for limited reasons as long as evidence provided
- Notification and files provided from Registry to Library
- Record created on Research Online, even for embargoed theses
- DOI created via DataCite EPrints plugin
 - Easier to cite the thesis, track with Altmetric
 - Permanent, stable link
 - Quality resource
 - Commitment to and investment in doctoral research
- One week grace period before file is made live



- Copyright training necessary to mitigate risk
- 'Submitting your thesis' session: open access, copyright, RDM, registry – general sounding session gets more interest

Content:

- Basics of open access – green vs. gold
- Copyright and licensing: SHERPA, Creative Commons
- Re-use: exception, licensed for re-use, or seek permission
- Interactive examples
- FAQs
 - Pre-publication
 - Plagiarism
 - Breaching copyright regulations





SHERPA/ROMEO


Journal: [Lancet](#) (ISSN: 0140-6736, ESSN: 1474-547X)

RoMEO: This is a [RoMEO green](#) journal

Paid OA: A paid open access option is **available** for this journal.

Author's Pre-print:  author **can** archive pre-print (ie pre-refereeing)

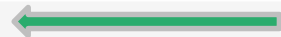
Author's Post-print:  author **can** archive post-print (ie final draft post-refereeing)

Publisher's Version/PDF:  author **cannot** archive publisher's version/PDF

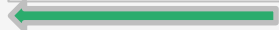
General Conditions:

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- If required by funding agency, authors may deposit in any repository after 6 months embargo
- NIH funded authors may deposit in any repository after 12 months embargo
- Author's post-print must be released with a Creative Commons Attribution Non-Commercial No Derivatives License

Look for the green tick here (post-print = accepted manuscript)



Look for any mention of embargo period here



Mandated OA: (Awaiting information)

Paid Open Access: [Open Access](#)

Notes:

- Publisher last reviewed on 01/07/2016

Copyright: [Guidelines for Authors](#) (pdf) - [Disclosure of results before publication](#) - [The Lancet journals welcome a new open access policy](#) - [What happens after publication](#)

Updated: 06-Mar-2017 - [Suggest an update for this record](#)

Link to this page: <http://www.sherpa.ac.uk/romeo/issn/0140-6736/>

Published by: [Elsevier](#): Lancet - [Green Policies in RoMEO](#)

This summary is for the journal's *default* policies, and changes or exceptions can often be negotiated by
All information is correct to the best of our knowledge but should not be relied upon for legal advice

- Copyright training necessary to mitigate risk
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Training and advice

- Research data management support
 - Data management plan
 - Storing data securely
 - Anonymising data
 - Keep data for 10 years
- Registry support
 - How to actually submit the thesis
 - What documents to provide and when
 - Questions



Other support

- One-to-one support
 - In-depth sessions if requested
 - copyright@lshtm.ac.uk
 - Helpdesk portal
- Extensive, accessible guide for students/researchers/lecturers
- Twitter, Facebook, mailing lists, 'pop-up' tables
- Doctoral College endorsement

Future provision

- Mandatory training via Doctoral College
- Live-streaming
- Moodle
- 'Starting your thesis'



COPYRIGHT GUIDANCE FOR RESEARCHERS, LECTURERS AND STUDENTS

Catalogue information:

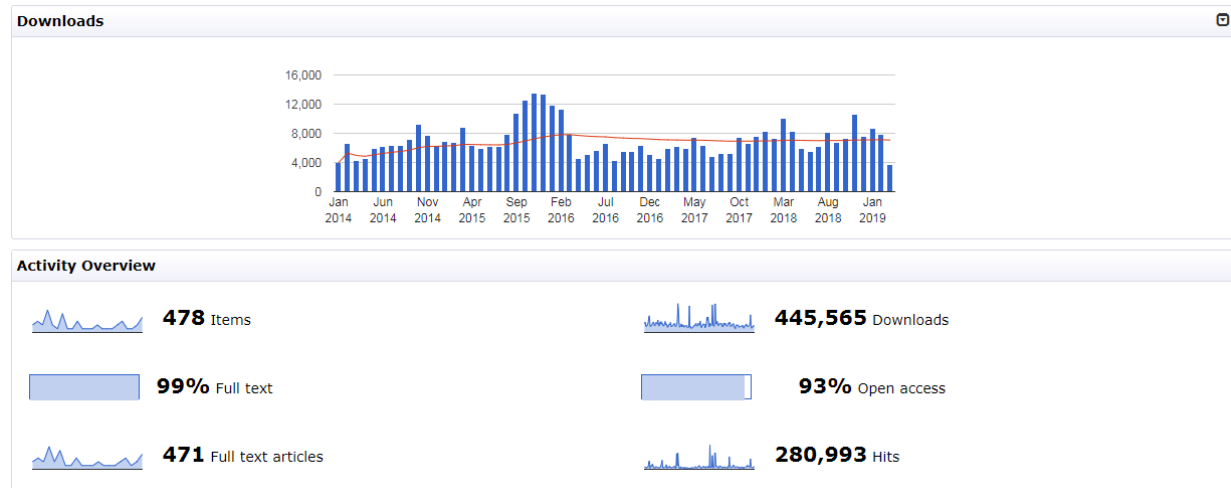
Field	Information
Title	Copyright guidance for researchers, lecturers and students
Version:	1.2
Document type:	Guide
Creator:	Dominic Walker
Keywords:	Copyright, fair dealing, exceptions, licences, CLA, open access, Creative Commons
Description:	This guide provides advice to LSHTM researchers, lecturers and students about copyright issues faced in non-commercial research, teaching and private study. It outlines the most common uses of third-party copyright material, the questions that need to be considered when using this material, and what exceptions may apply under UK Copyright Law.
Date (dd/mm/yyyy)	21/08/2018
Language:	English
Rights:	Creative Commons Attribution 4.0 International License unless otherwise stated

Version control:

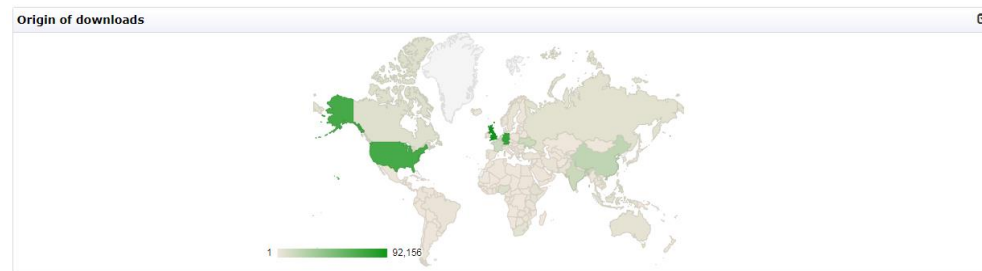
Version	Date	Change description	Author
1.0	09/03/2018	First version	Dominic Walker
1.1	01/08/2018	Clarification of copyright exceptions for teaching & sub-section on MOOCs, FAQ on Creative Commons	Dominic Walker
1.2	21/08/2018	Revision of heading for guidance	Dominic Walker

Successes

- Most on board with the idea of open research
- Since 2014:



- UK, US
- Germany (75k)
- China (19k)
- India (14.5k)
- France (13.5k)



- Who's using the work? Copy request button
- 777 DOIs: 40% of total as of 2018
- Added value for DataCite subscription

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Derivative Works 3.0



Concerns and tips

- Still have c.700 undigitised theses
- Pre-publication of material
 - Monograph policies slightly more restrictive but still acceptable
 - UKCORR Knowledgebase
- Third-party material risk
 - Heightened risk for non-STEM subjects?
 - Mitigated with wide advertising of support
 - Few issues in practice
- Control over versioning
- Broaden the scope of the open access agenda
- Get better value out of the DataCite subscription
- Staffing: may require additional FTE, possibly roll into existing duties (open access staff know about Creative Commons)